Form PTO-1449 Sheet 1 of 2

Applicant: Tsutomu Kito et al. Confirmation No.: 5579
Serial No.: 10/584,820 Att'y Docket No.: 14321.86

Art Unit: 2874

For: OPTICAL FUNCTIONAL CIRCUIT

June 27, 2006

Filing Date:

INFORMATION DISCLOSURE CITATIONS BY APPLICANT

U.S. PATENT DOCUMENTS

| | | <u>0.5.1111E111</u> | VILLY I D | |
|------------------------------|--|--|--------------------------|-------------|
| Examiner <u>Initial*</u> | Document Number | Issue/Publication Date Name | | <u>Name</u> |
| | FO | REIGN PATENT DOC | <u>CUMENTS</u> | |
| Examiner <u>Initial</u> * | Document Number | Publication | Country or Patent Office | Translation |
| 1 | 60-202553 | 10/14/1985 | Japan | No |
| 2 | 04-316005 | 11/06/1992 | Japan | No |
| 3 | 05-011135 | 01/19/1993 | Japan | No |
| 4 | 08-190028 | 07/23/1996 | Japan | No |
| 5 | 08-313744 | 11/29/1996 | Japan | No |
| 6 | 10-186184 | 07/14/1998 | Japan | No |
| 7 | 10-332966 | 12/18/1998 | Japan | No |
| 8 | 2002-031731 | 01/31/2002 | Japan | No |
| 9 | 2004-046021 | 02/12/2004 | Japan | No |
| 10 | WO 2004/059354 A1 | 07/15/2004 | PCT | No |
| | | ATENT LITERATURE cluding author, title, pertinen | | |
| Examiner <u>Initial</u> * | | | | |
| 11 | Yoshinori Hibino, <i>Passive Optical Devices for Photonic Networks</i> , IEICE Trans. Commun., Vol. E83-B, No. 10, October 2000., pp. 2178-2190. | | | |

| Examiner | Date Considered: |
|------------|------------------|
| Signature: | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Sheet 2 of 2

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Yabu Tetsuro et al., *New Design Method for Low Loss Y-branch Waveguides*, The Institute of Electronics, Information and Communication Engineers, Vol. 100, No. 68, May 12, 2000, pp. 65-72.

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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